



# OPTO CIRCUITS (INDIA) LIMITED (UNIT II)

100% EOU

13<sup>th</sup> May 2020

The Manager  
Department of Corporate Services  
Bombay Stock Exchange Ltd  
PJ Towers, Dalai Street  
MUMBAI - 400 001

The Manager  
National Stock Exchange of India Ltd  
Exchange Plaza  
Bandra Kurla Complex  
Bandra (E), MUMBAI - 400 051

Dear Sir,


Sub: Certificate on Security Transfer - Regulation 40(9) of SEBI (LODR) Regulation, 2015.

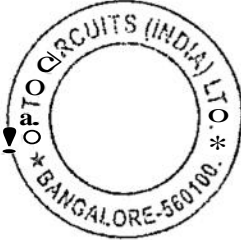
We are enclosing herewith the Certificate on securities transfer for the period from 1<sup>st</sup> October 2019 to 31<sup>st</sup> March 2020. We request you to take the same on record.

Kindly acknowledge tire receipt.

Thanking you,

Yours faithfully,  
For Opto Circuits (India) Limited.,

  
Ramadyarii T D  
Asst General Manager



Enel: a/a.

VIJAYAKRISHNA KT  
Company Secretary

#:496/4, 1! Floor  
10th Cross  
Near Bashyam Circle  
Sadashivanagar  
Bangalore- 560 080  
[vijavkt@vikt.in](mailto:vijavkt@vikt.in)  
Ph: 080 23610847,41231106

### CERTIFICATE

#### IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

At the request of Opto Circuits (India) Limited, I have examined the relevant Share transferred deeds, records, registers relating to transfers as maintained and made available at KFiN Technologies Private Limited (formerly known as KarvyFintech Private Limited), Hyderabad, Bengaluru, for the period from 01.10.2019 to 31.03.2020 for the purpose of rendering certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of such examination and on the basis of information/records provided to me and to the best of my knowledge, I certify that:

The Company has complied with the Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by effecting transfers of Shares and by issuing certificates within a period of thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies except in cases either where the documents were defective and returned to the lodgers of shares for transfers or where "Sellers' Confirmation Notices" have been issued or where cases are pending before Courts of Law/Quasi-Judicial Authority.

There were no physical transfers reported during the period.

Date: 0111 May, 2020  
Place: Bangalore

  
VIJAYAKRISHNA KT  
CP: 980 FCS: 788  
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